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PATENT TRADEMARK OFFICE

BOX PCT

Honorable Commissioner of  
Patents and Trademarks

Washington, D.C. 20231

Attorney Docket No 1830/50520

Re: Transmittal Letter to the United States  
Designated/Elected Office (DO/EO/US)  
Concerning a Filing Under 35 U.S.C. §371

International Application No.: PCT/JP01/03377  
International Filing Date: 20 April 2001

Priority date claimed: 21 April 2000  
Priority application number: 2000-120975

Inventorship: Masahiro IMOTO  
Tatsuya IWANAMI  
Minako AKABANE  
Yoshihiro TANI

Title: NOVEL HETEROCYCLIC COMPOUNDS

Enclosed herewith for entering the national stage in the United States is the above-referenced international application.

APPLICANT WISHES THAT THE ANNEXES TO THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT REPLACE THE APPROPRIATE PAGES OF THE CLAIMS AS FILED.

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. §371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. §371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).

- Crowell & Moring LLP ■ [www.crowell.com](http://www.crowell.com) ■ Washington ■ Irvine ■ London ■ Brussels**

INTERNATIONAL APP NO.: PCT/JP01/03377  
ATTORNEY DOCKET NO.: 1830/50520

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10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c) (5))
11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An Assignment of the invention in favor of the following organization is enclosed for recordation. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST Preliminary Amendment.
- ☐ A SECOND or SUBSEQUENT Preliminary Amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items of information:
- ☐ Form PCT/RO/101 Request (in English/in French)
- ☐ Small Entity Declaration Under 37 C.F.R. 1.27
- ☐ Copy of Form PCT/DO/EI/905 (Notification of Missing Requirements)
- ☐ \_\_\_\_\_ Sheets of Formal Drawings
- ☐ \_\_\_\_\_ Sheets of Informal Drawings
- ☐ The content of the paper and computer readable copy of the attached Sequence Listing, submitted in accordance with 37 CFR \$1.821(c) and (e), respectively, are the same.
- ☒ Kindly appoint as associate attorneys (if not already a principal attorney) or agents:

Herbert I. Cantor, Reg. No. 24,392; James F. McKeown, Reg. No. 25,406; Donald D. Evenson, Reg. No. 26,160; Joseph D. Evans, Reg. No. 26,269; Gary R. Edwards, Reg. No. 31,824; and Jeffrey D. Sanok, Reg. No. 32,169

[ ] Based on Small Entity Status

Total Independent Claims: 1

Please forward all communications regarding this application to the undersigned at the letterhead address.

Respectfully submitted,  
  
Herbert I. Cantor  
Reg. No. 24,392

THE COMMISSIONER IS AUTHORIZED TO CHARGE \$1,980 FOR THE FILING FEE AND ANY OTHER FEES WHICH MAY BE REQUIRED OR CREDIT ANY OVERPAYMENT TO DEPOSIT ACCOUNT NO. 05-1323. THIS FORM IS FILED IN DUPLICATE.

THIS IS A GENERAL AUTHORIZATION EXCLUDING ONLY PAYMENT OF THE ISSUE FEE.